

PART B - FEE(S) TRANSMITTAL

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09/25/2006

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Jeong Lee	(Depositor's name)
<i>[Signature]</i>	(Signature)
October 9, 2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/668,547	09/25/2000	Eugene Lawrence Podsiadlo	10316-003-999	2854

TITLE OF INVENTION: COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR AUCTIONING SHARES ON AN INVESTMENT PRODUCT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	XXX YES	\$100 \$700	\$0	\$0	XXX \$700	12/26/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS				
HAVAN, THU THAO	3624	705-037000				

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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1. STROOCK & STROOCK
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 2.
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

[Signature]
 Ian G. DiBernardo, Reg. No. 40,991 for
 Steven B. Pokotilov

Date October 9, 2006Registration No. 26,405

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